(19) World Intellectual Property Organization International Bureau



) HEAVIN BENNERA IN HEAVIN BENNE BENNE HELD HER BRANK HELDE BLANK HELD HEAVIN HELD HELD HELD HELD HELD HELD HE

(43) International Publication Date 3 August 2000 (03.08.2000)

PCT

(10) International Publication Number WO 00/45262 A3

(51) International Patent Classification⁷: 1/00, G07F 7/10

G06F 9/46,

(21) International Application Number: PCT/US00/01234

(22) International Filing Date: 20 January 2000 (20.01.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

09/235,156

22 January 1999 (22.01.1999) US

- (71) Applicant: SUN MICROSYSTEMS, INC. [US/US]; 901 San Antonio Road, Palo Alto, CA 94303 (US).
- (72) Inventors: SUSSER, Joshua; 4150 17th Street #11, San Francisco, CA 94114 (US). BUTLER, Mitchel, B.; 522 N. Cascade Terrace, Sunnyvale, CA 94087 (US). STREICH, Andy; 693 Beach Park Boulevard, Foster City, CA 94404 (US).

- (74) Agents: STEWART, David, L. et al.; McDermott, Will & Emery, 600 13th Street, N.W., Washington, DC 20005-3096 (US).
- (81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

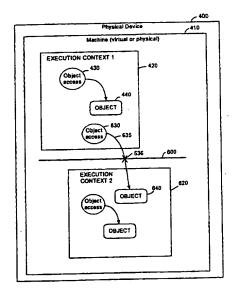
Published:

With international search report.

(88) Date of publication of the international search report: 25 January 2001

[Continued on next page]

(54) Title: TECHNIQUES FOR PERMITTING ACCESS ACROSS A CONTEXT BARRIER IN A SMALL FOOTPRINT DE-VICE USING GLOBAL DATA STRUCTURES





(57) Abstract: A small footprint device can securely run multiple programs from unrelated vendors by the inclusion of a context barrier isolating the execution of the programs. The context barrier performs security checks to see that principal and object are within the same namespace or memory space or to see that a requested action is authorized for an object to be operated upon. Each program or set of programs runs in a separate context. Access from one program to another program across the context barrier can be achieved under controlled circumstances by using a global data structure.



00/45262 A3



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

Interna al Application No PCT/US 00/01234

a. CLASSIFICATION OF SUBJECT MATTER IPC 7 G06F9/46 G06F G06F1/00 G07F7/10 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) **G07F** G06F IPC 7 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ, IBM-TDB C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages Category ° 1-21 WO 98 19237 A (SCHLUMBERGER TECHNOLOGIES Y INC) 7 May 1998 (1998-05-07) abstract; figures 1,2,12-16,19,20 page 1, line 20 - last line page 6, line 21 -page 8, line 19 page 9, line 20 -page 10, line 8 page 12, line 8 - line 16 page 23, line 13 -page 26, line 2 page 28, line 16 - line 33 page 31, line 33 -page 33, line 16 page 35, line 8 -page 36, line 5 page 51 -page 52 Patent family members are listed in annex. Х Further documents are listed in the continuation of box C. Special categories of cited documents : "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled other means document published prior to the international filing date but later than the priority date claimed in the art. "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 09/11/2000 2 November 2000 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Powell, D

INTERNATIONAL SEARCH REPORT

Interna al Application No PCT/US 00/01234

		PC1/US 00	7/01234
C.(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT		
ategory ° Citation of document, with indication, where appropriate, of the relevant passages			Relevant to claim No.
Y	SUN MICROSYSTEMS, INC.: "Java Card Runtime Environment (JCRE) 2.1 Specification - Draft 2" 14 December 1998 (1998-12-14), PALO ALTO, US XP002138793 cited in the application page 6-2,-page 6-10		1-21
A .	GONG L ET AL: "Going beyond the sandbox: an overview of the new security architecture in the JavaDevelopment Kit 1.2" PROCEEDINGS OF THE USENIX SYMPOSIUM ON INTERNET TECHNOLOGIES AND SYSTEMS, 8 December 1997 (1997-12-08), XP002100907 page 104, left-hand column, line 16 -page 107, left-hand column, last line	·	1-21
A	US 5 204 897 A (WYMAN ROBERT M) 20 April 1993 (1993-04-20) figures 32,35 column 38, line 41 - line 55 column 40, line 1 - line 41		7
Α	N. ISLAM ET AL: "A Flexible Security Model for Using Internet Content" IBM THOMAS J.WATSON RESEARCH CENTER PAPERS, 'Online! 28 June 1997 (1997-06-28), XP002138803 Retrieved from the Internet: <url:http: education="" fle="" java="" www.ibm.com="" xsecurity=""></url:http:> 'retrieved on 2000-05-25! abstract; figure 2 page 5, line 32 - line 54		15-21

. INTERNATIONAL SEARCH REPORT

....ormation on patent family members

Intern: ai Application No PCT/US 00/01234

Patent document cited in search report		Publication date	ſ	Patent family member(s)	Publication date
WO 9819237	Α	07-05-1998	AU AU EP	722463 B 4911897 A 0932865 A	03-08-2000 22-05-1998 04-08-1999
US 5204897	A	20-04-1993	AU AU DE DE EP IL IL NZ WO	659652 B 2015892 A 69228350 D 69228350 T 0538453 A 102114 A 116271 A 243277 A 9220022 A	25-05-1995 21-12-1992 18-03-1999 23-09-1999 28-04-1993 14-05-1996 12-03-1999 26-10-1995 12-11-1992

THIS PAGE BLANK (USPTO)